



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Jim E. Duffy, et al.

Attorney Docket No.: STWKP001

Application No.: 10/626,109

Examiner: To Be Assigned

Filed: July 23, 2003

Group: unknown

Title: CAP TETHER DEVICE

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to: Commissioner for Patents, Alexandria, Virginia 22313-1450 on September 26, 2003.

Signed: Deborah Neill
Deborah Neill

INFORMATION DISCLOSURE STATEMENT

37 CFR §§1.56 AND 1.97(b)

Commissioner for Patents
Alexandria, VA 22313-1450
Dear Sir:

The references listed in the attached PTO Form 1449 may be material to examination of the above-identified patent application. Applicants submit the list of these references in compliance with their duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. The Examiner is requested to make these references of official record in this application. Pursuant to waiver of 37 CFR 1.98 (a)(2)(i), Applicants submit that copies need not be provided.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

This Information Disclosure Statement is believed to be filed before the mailing date of a first Office Action on the merits. Accordingly, it is believed that no fees are due in connection with the filing of this Information Disclosure Statement. However, if it is determined that any fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 500388 (Order No. STWKP001).

Respectfully submitted,

BEYER WEAVER & THOMAS, LLP

Michael L. Louie
Michael L. Louie
Registration No. 36,988

P.O. Box 778
Berkeley, CA 94704-0778



Form 1449 (Modified)		Atty Docket No. STWKP001	Application No.: 10/626,109
Information Disclosure Statement By Applicant		Applicant: Jim E. Duffy, et al.	
(Use Several Sheets if Necessary)		Filing Date July 23, 2003	Group unknown

U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
	A	6,446,265	09/10/02	Moreau, et al.			05/30/01
	B	6,154,887	12/05/00	Yagi			06/22/99
	C	6,079,419	06/27/00	Perlsweig			11/23/98
	D	5,890,638	04/06/99	Woloshen			11/06/97
	E	5,611,118	03/18/97	Bibbee			12/08/95
	F	5,541,816	07/30/96	Miserendino			06/07/95
	G	5,514,016	05/07/96	Larson			01/24/95
	H	5,144,695	09/08/92	Schweizer			11/13/91
	I	5,092,472	03/03/92	Jones			02/06/89
	J	4,993,079	02/19/91	Johnson			05/01/89
	K	4,991,236	02/12/91	Pritchett			11/03/89
	J	4,276,657	07/07/81	Montesi			02/25/80
	K	4,263,679	04/28/81	Erlendson			01/25/79
	L	2,686,917	08/24/54	Auerbach			03/27/51
	M	1,055,676	03/11/13	Soneshein			09/13/12
	N	938,281	10/26/09	Shaw			02/24/09
	O	903,037	11/03/08	Westlund			05/06/08
	P	701,639	06/03/02	Stamm			03/19/02

Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No

Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
Examiner		Date Considered

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Form 1449 (Modified)				Atty Docket No. STWKP001	Application No.: 10/626,109
Information Disclosure Statement By Applicant				Applicant: Jim E. Duffy, et al.	
(Use Several Sheets if Necessary)				Filing Date July 23, 2003	Group unknown

U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
	Q	Des. 412,611	08/10/99	Simpson			06/05/98
	R	Des. 371,006	06/25/96	Walker, et al.			04/08/94
	S	Des. 293,043	12/08/87	Zamboni			02/25/85

Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No

Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication					
Examiner				Date Considered			

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.